

Appendix D

Does a normal mode carry Physical Momentum?

Back to our 1D monatomic chain example

Physical momentum = Mass · Velocity

$$= \sum_{\text{all atoms } n} M \cdot \frac{d\mathbf{u}_n}{dt} \quad \begin{matrix} (N \text{ atoms}) \\ (N \gg 1) \end{matrix}$$

$$\mathbf{u}_n = A e^{i\mathbf{q}n a} e^{-i\omega t} \equiv A(t) e^{i\mathbf{q}n a}$$

$$\therefore \text{Physical momentum} = M \frac{dA}{dt} \sum_{n=0}^{N-1} e^{i\mathbf{q}n a}$$

This is the same as: $\frac{1 - e^{i\mathbf{q}N a}}{1 - e^{i\mathbf{q} a}}$ (in 1D)

• but now \mathbf{q} is in 1st B.Z.

Vanishes except $\mathbf{q} = \vec{0}$

(i) But for any $\mathbf{q} \neq 0$ in 1st B.Z., $\mathbf{q} \neq \vec{0}$

\therefore Physical momentum = 0 for any $\mathbf{q} \neq 0$

The physical reason is that only relative coordinates of the atoms are involved.

(ii) The Only $\vec{0}$ in the 1st B.Z., is $\vec{0} = \vec{0}$.

\therefore The $\mathbf{q} = 0$ mode is an exceptional case.

For $\mathbf{q} = 0$ (1D chain),

$$\text{physical momentum} = M \frac{dA}{dt} \sum_{n=0}^{N-1} 1$$

$$= MN \frac{dA}{dt}$$

representing a uniform translation of the crystal as a whole.

Remarks:

- Since the physical momentum is different from $\hbar\mathbf{q}$ and $\hbar\mathbf{q}$ appears in "selection rules" or "momentum conservation" rules, we call $\hbar\mathbf{q}$ the crystal momentum of a phonon in the mode \mathbf{q} (or (s, \mathbf{q}) where s labels the branches)

• Recall that when the media is dispersive (i.e. $\omega(\mathbf{q})$), the velocity of a wave packet is the group velocity:

$$\vec{V}_g = \vec{\nabla}_{\mathbf{q}} \omega(\mathbf{q}) \leftarrow \text{dispersion relation}$$

↖ gradient w.r.t. \mathbf{q}

$$\frac{\partial \omega}{\partial \mathbf{q}} \text{ in 1D}$$